BEFORE THE BOARD OF MEDICAL EXAMINERS REDACTED 2 OF THE STATE OF CALIFORNIA 3 4 In the Matter of the Accusation, As Amended, against 5 No. D-924 HERBERT B. HENDERSON, M.D., 6 OSF-4758 Respondent. 7 8 9 DECISION 10 The above-entitled matter came on regularly for hearing before Paul 11 A. Winton, Hearing Officer of the Office of Administrative Procedure, at San 12 Francisco, California, on May 20, 1968. Jerome G. Utz and Don Jacobson, 13 Deputies Attorney General, appeared on behalf of the Board of Medical Examiners 14 Respondent was not personally present, but was represented by Herbert C. 15 Kohlwes, Attorney. 16 Thereafter the Hearing Officer duly submitted his proposed decision 17 to the Board of Medical Examiners. The Board of Medical Examiners failed to 18 adopt the proposed decision of the Hearing Officer and the respondent was 19 served with a copy of said proposed decision, was notified of the non-adoption 20 and was afforded an opportunity to present written argument before the Board all in accordance with Government Code Section 11517. The Board fully read 21 22 the record, including the transcript, and considered the case upon the merits. 23 It was stipulated by the parties hereto that the transcript of 24 certain Grand Jury proceedings, the reporter's transcripts in criminal cases 25 numbers 62348 and 64935 (People of the State of California vs. Herbert B. 26 Henderson, M.D.) in the Superior Court of San Francisco County, and all exhibits pertaining to said proceedings, and the reporter's transcript of 27 28 case number 37722 in the United States District Court for the Northern Dis-29 trict of California and the comments of Judge Oliver J. Carter at the time of 30 sentencing in said matter, all be received as direct evidence in the instant 31 proceeding. Such evidence having been received and carefully considered and

the matter having been submitted, the Board makes the following rulings and

finds the following facts: 1 2 RULINGS 3 Ι The objection as to each of the seven causes for disciplinary action 4 that Business and Professions Code Sections 2360 and 2361 were not the laws 5 6 of the State of California at the time the alleged offenses occurred is hereby 7 overruled. 8 II 9 The objection as to each of the seven causes for disciplinary action 10 that the Board of Medical Examiners failed to file the Accusation, as amended, 11 within a reasonable time is hereby overruled. 12 III 13 The objection as contained in the Notice of Defense, relating solely 14 to the fifth cause for disciplinary action is hereby overruled. 15 FINDINGS OF FACT 16 FINDINGS RE ALL CAUSES FOR DISCIPLINARY ACTION 17 18 Wallace W. Thompson made the Accusation, as amended, in his official 19 capacity as the duly qualified and appointed Executive Secretary of the Board 20 of Medical Examiners of the State of California, 21 II 22 It is true that on or about November 20, 1946, the Board of Medical 23 Examiners of the State of California issued to Herbert B. Henderson License 24 No. C-9528, authorizing him to practice medicine in the State of California. 25 Such license was at all times herein mentioned in full force and effect. 26 FINDINGS RE FIRST CAUSE FOR DISCIPLINARY ACTION 27 III 28 It was not established by a preponderance of the evidence that on or 29 about July, 1963, respondent did unlawfully employ an instrument and other means 30 upon the person of a woman, to wit, P **J** , with intent to procure a 31 miscarriage of said P J the same not being then and there neces-32 sary to preserve her life.

1.0	FINDINGS RE SECOND CAUSE FOR DISCIPLINARY ACTION
2	IV
3	It was not established by a preponderance of the evidence that on
4	or about March, 1964, respondent did unlawfully employ an instrument and other
5	means upon the person of a woman, to wit, January January, with intent to
6	procure the miscarriage of said James James, the same not being then
.7	and there necessary to preserve her life.
8	FINDINGS RE THIRD CAUSE FOR DISCIPLINARY ACTION
9	v
10	It was not established by a preponderance of the evidence that on
11	or about June, 1964, respondent did unlawfully employ an instrument and other
12	means upon the person of a woman, to wit, A B B , with intent to
13	procure a miscarriage of said A B B , the same not being then and
14	there necessary to preserve her life.
15	FINDINGS RE FOURTH CAUSE FOR DISCIPLINARY ACTION
16	VI
17	It was not established by a preponderance of the evidence that on or
18	about August, 1964, respondent did unlawfully employ an instrument and other
19	means upon the person of a woman, to wit, L C , with intent to procure
20	the miscarriage of said L C , the same not being then and there neces-
21	sary to preserve her life.
22	FINDINGS RE FIFTH CAUSE FOR DISCIPLINARY ACTION
23	VII
24	It is true that on or about August 4, 1961, in the matter entitled,
25	"United States of American v. Herbert B. Henderson," being Case No. 37722 in
26	the United States District Court for the Northern District of California,
27	Southern Division, said respondent was convicted on two counts upon a plea of
28	not guilty of the offense of the violation of Title 26, Untied States Code,
29	Section 7201: Attempted Income Tax Evacion a follow

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FINDINGS RE SIXTH CAUSE FOR DISCIPLINARY ACTION

VIII

Section 7201: Attempted Income Tax Evasion, a felony.

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It is true that on or about July 5, 1965, and again on July 7, 1965,

respondent did employ an instrument called an intra-cervical loop upon the person of a woman, to wit, M. E. J. It was not established by a preponderance of the evidence that respondent employed such instrument with the intent to procure the miscarriage of said M. E. J. nor that respondent was responsible for the death of said M. E. J.

FINDINGS RE SEVENTH CAUSE FOR DISCIPLINARY ACTION

IX

It was established by a preponderance of the evidence that on or about August, 1964, respondent did offer to procure a criminal abortion upon the person of a woman, to wit, 0 the same not being then and there necessary to preserve her life.

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Respondent introduced evidence which established the following facts:

- 1. Respondent has been licensed to practice medicine in California since 1946.
 - 2. Respondent is 57 years of age.
- 3. Respondent served as an officer in the Medical Corps of the United States Army from 1941 until 1946, being discharged with the rank of Captain.
- 4. In 1948, respondent was appointed by the then Mayor of San Francisco to the Redevelopment Commission of the City of San Francisco.
- 5. Respondent avers that he had a very severe problem concerning excessive use of alcohol during the period fo the 1950's. This overindulgence in the use of alcoholic beverages contributed significantly to respondent's conviction for attempted income tax evasion as found to be true in Finding VII above. Other factors contributing to this problem were respondent's poor or practically non-existent method of keeping proper books and records relating to income and expenses and his reliance on someone lacking knowledge of accounting or bookkeeping to maintain his records. Respondent recognized his problem concerning alcohol and for two years prior to his conviction in August, 1961, he completely abstained from the use of alcoholic beverages. The Federal Court Judge in said case made the observation that respondent was

guilty of at least something akin to "ctiminal negligence" in his failure to keep adequate books and records, and accordingly fined respondent a total of \$10,000, which was made payable within nine (9) months. Respondent presently suffers from arteriosclerosis, which results in coronary heart disease. He is under medical care and has been advised to, and has, curtailed and limited his professional activities. Pursuant to the foregoing findings of fact, the Board makes the following determination of the issues presented: No cause for disciplinary action exists as to the First, Second, Third, Fourth, Sixth, and Seventh Causes for Disciplinary Action alleged, and all such causes should be dismissed. Respondent has been guilty of acts of unprofessional conduct as defined in Section 2383 of the Business and Professions Code of the State of California, and grounds to impose discipline against respondent exist pursuant to the provisions of Sections 2360 and 2361 of said Business and Professions Code as to Finding VII relating to the Fifth Cause for Disciplinary Action. III The facts established by respondent have been considered in assessing the penalty imposed hereinbelow. * * * * * WHEREFORE, The Board makes the following order: 1. The Accusation, as amended, is hereby dismissed as to the First, Second, Third, Fourth, Sixth, and Seventh Causes for Disciplinary Action alleged. The certificate heretofore issued to Herbert B. Henderson (NO. C-9528) authorizing him to practice medicine in the State of California is hereby revoked as to the Fifth Cause for Disciplinary Action established; provided, however, that execution of this order of revocation is hereby stayed and respondent is placed on probation to and including August 21, 1973 upon

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the following terms and conditions: 2 (a) Respondent shall comply with all laws of the United States and 3 its political subdivisions and with the rules and regulations of the 4 Board of Medical Examiners: 5 (b) Respondent shall report in person to the Board of Medical 6 Examiners, annually, at such time and place as directed by said Board; 7 (c) Respondent shall file with the Board of Medical Examiners, at 8 quarterly intervals, an affidavit to the effect that he has fully com-9 plied with all of the terms and conditions of probation herein imposed. 10 3. In the event respondent does not comply with the conditions of 11 probation hereinabove set forth and, during the period of probation, the 12 Board of Medical Examiners, after notice to respondent and opportunity to be 13 heard, may terminate said probation effective immediately, or make such other 14 order modifying or changing the terms of probation herein as it deems just 15 and reasonable in its discretion. 16 17 Upon expiration of the period of probation, the stay of the order 18 of revocation shall become permanent and respondent's license fully restored. 19 20 This decision shall become effective on the 17th day of April, 1973. 21 22 IT IS SO ORDERED THIS 17th day of April, 1973. 23 24 OF MEDICAL/EXAMINERS STATE OF CALIFORNIA 25 26 27 Secretary-Treasurer 28 29 30 31 32

3	BEFORE THE BOARD OF MEDICAL EXAMINERS
4	OF THE STATE OF CALIFORNIA REDACTED
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6	In the Matter of the Accusation,) No. D-924
7	As Amended, against
) OSF-4758
8	HERBERT B. HENDERSON, M.D.,
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3.0	Respondent.)
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3.0	NORT OF AN ANALY
12	NOTICE OF NON-ADOPTION OF PROPOSED DECISION
13	(Pursuant to Section 11517 of the Government Code)
14	
15	TO THE RESPONDENT ABOVE NAMED:
20	YOU ARE HEREBY NOTIFIED that the Board of Medical Examiners of the
16) i
17	State of California has decided not to adopt the attached proposed decision,
18	filed herein by the duly assigned Hearing Officer, Paul A. Winton, and dated
19	August 21, 1968. You are also notified that the Board of Medical Examiners
13	of the State of California will decide the case upon the record, including
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21	the transcript, without taking additional evidence. You are hereby afforded
22	the opportunity to present written argument to the Board of Medical Examiners
23	if you desire to do so by filing such written argument with the Board at
ຂບ	its office at 1021 O Street, Sacramento, California 95814, and the same
24	
25	opportunity is afforded to the Attorney General of the State of California.

The opening brief of the respondent will be due 30 days from the receipt of this notice. The Attorney General will be allowed 30 days in which to reply, and the respondent allowed 10 days for a closing brief.

DATED: October 28, 1968

BOARD OF MEDICAL EXAMINERS OF THE STATE OF CALIFORNIA

WALLACE W. THOMPSON Executive Secretary

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BEFORE THE BOARD OF MEDICAL EXAMINERS

OF THE STATE OF CALIFORNIA

In the Matter of the Accusation, As Amended, against

No. D-924

HERBERT B. HENDERSON. M. D..

OSF-4758

Respondent.

PROPOSED DECISION

The above-entitled matter came on regularly for hearing before Paul A. Winton, Hearing Officer of the Office of Administrative Procedure, at San Francisco, California, on May 20, 1968. Jerome C. Utz and Don Jacobson, Deputies Attorney General, appeared on behalf of the Board of Medical Examiners. Respondent was not personally present, but was represented by Herbert C. Kohlwes, Attorney. It was stipulated by the parties hereto that the transcript of certain Grand Jury proceedings, the reporter's transcripts in criminal cases numbers 62348 and 64935 (People of the State of California vs. Herbert B. Henderson, M.D.) in the Superior Court of San Francisco County, and all exhibits pertaining to said proceedings, and the reporter's transcript of case number 37722 in the United States District Court for the Northern District of California and the comments of Judge Oliver J. Carter at the time of sentencing in said matter, all be received as direct evidence in the instant proceeding. Such evidence having been received and carefully considered and the matter having been submitted, the Hearing Officer makes the following rulings and finds the following facts:

RULINGS

I

The objection as to each of the seven causes for disciplinary action that Business and Professions Code Sections 2360 and 2361 were

not the laws of the State of California at the time the alleged offenses occurred is hereby overruled.

II

The objection as to each of the seven causes for disciplinary action that the Board of Medical Examiners failed to file the Accusation, as amended, within a reasonable time is hereby overruled.

III

The objection as contained in the Notice of Defense, relating solely to the fifth cause for disciplinary action is hereby overruled.

FINDINGS OF FACT

FINDINGS RE ALL CAUSES FOR DISCIPLINARY ACTION

Ι

Wallace W. Thompson made the Accusation, as amended, in his official capacity as the duly qualified and appointed Executive Secretary of the Board of Medical Examiners of the State of California.

II

It is true that on or about November 20, 1946, the Board of Medical Examiners of the State of California issued to Herbert B. Henderson License No. C-9528, authorizing him to practice medicine in the State of California. Such license was at all times herein mentioned in full force and effect.

FINDINGS RE FIRST CAUSE FOR DISCIPLINARY ACTION

III

It was not established by a preponderance of the evidence that on or about July, 1963, respondent did unlawfully employ an instrument and other means upon the person of a woman, to wit,

P J , with intent to procure a miscarriage of said

P J , the same not being then and there necessary to preserve her life.

FINDINGS RE SECOND CAUSE FOR DISCIPLINARY ACTION

IV

It was not established by a preponderance of the evidence that on or about March, 1964, respondent did unlawfully employ an instrument and other means upon the person of a woman, to wit, January, with intent to procure the miscarriage of said January, the same not being then and there necessary to preserve her life.

FINDINGS RE THIRD CAUSE FOR DISCIPLINARY ACTION

V

It was not established by a preponderance of the evidence that on or about June, 1964, respondent did unlawfully employ an instrument and other means upon the person of a woman, to wit,

A B W B With intent to procure a miscarriage of said A B B W the same not being then and there necessary to preserve her life.

FINDINGS RE FOURTH CAUSE FOR DISCIPLINARY ACTION

VI

It was not established by a preponderance of the evidence that on or about August, 1964, respondent did unlawfully employ an instrument and other means upon the person of a woman, to wit, Land with intent to procure the miscarriage of said Land Can, the same not being then and there necessary to preserve her life.

FINDINGS RE FIFTH CAUSE FOR DISCIPLINARY ACTION

VII

It is true that on or about August 4, 1961, in the matter entitled, "United States of America v. Herbert B. Henderson," being Case No. 37722 in the United States District Court for the Northern District of California, Southern Division, said respondent was convicted on two counts upon a plea of not guilty of the offense of the violation of Title 26, United States Code, Section 7201: Attempted Income Tax Evasion, a felony.

FINDINGS RE SIXTH CAUSE FOR DISCIPLINARY ACTION

IIIV

FINDINGS RE SEVENTH CAUSE FOR DISCIPLINARY ACTION

IX

It was established by a preponderance of the evidence that on or about August, 1964, respondent did offer to procure a criminal abortion upon the person of a woman, to wit, 0 the same not being then and there necessary to preserve her life.

X

Respondent introduced evidence which established the follow-ing facts:

- 1. Respondent has been licensed to practice medicine in California since 1946.
 - 2. Respondent is 57 years of age.
- 3. Respondent served as an officer in the Medical Corps of the United States Army from 1941 until 1946, being discharged with the rank of Captain.
- 4. In 1948, respondent was appointed by the then Mayor of San Francisco to the Redevelopment Commission of the City of San Francisco.
- 5. Respondent avers that he had a very severe problem concerning excessive use of alcohol during the period of the 1950's.

 This overindulgence in the use of alcoholic beverages contributed

evasion as found to be true in Finding VII above. Other factors contributing to this problem were respondent's poor or practically non-existent method of keeping proper books and records relating to income and expenses and his reliance on someone lacking knowledge of accounting or bookkeeping to maintain his records. Respondent recognized his problem concerning alcohol and for two years prior to his conviction in August, 1961, he completely abstained from the use of alcoholic beverages. The Federal Court Judge in said case made the observation that respondent was guilty of at least something akin to "criminal negligence" in his failure to keep adequate books and records, and accordingly fined respondent a total of \$10,000, which was made payable within nine (9) months.

6. Respondent presently suffers from arteriosclerosis, which results in coronary heart disease. He is under medical care and has been advised to, and has, curtailed and limited his professional activities.

* * * *

Pursuant to the foregoing findings of fact, the Hearing Officer makes the following determinations of the issues presented:

I

No cause for disciplinary action exists as to the First, Second, Third, Fourth and Sixth Causes for Disciplinary Action alleged, and all such causes should be dismissed.

II

Respondent has been guilty of acts of unprofessional conduct as defined in Section 2383 of the Business and Professions Code of the State of California, and grounds to impose discipline against respondent exist pursuant to the provisions of Sections 2360 and 2361

of said Business and Professions Code as to Finding VII relating to the Fifth Cause for Disciplinary Action.

III

Respondent has been guilty of acts of unprofessional conduct as defined in Section 2377 of the Business and Professions Code and grounds to impose discipline against respondent exist pursuant to the provisions of Sections 2360 and 2361 of the Business and Professions Code as to Finding IX relating to the Seventh Cause for Disciplinary Action.

IV

The facts established by respondent have been considered in assessing the penalty imposed hereinbelow.

* * * * *

WHEREFORE, the Hearing Officer proposes the following order:

- 1. The Accusation, as amended, is hereby dismissed as to the First, Second, Third, Fourth and Sixth Causes for Disciplinary Action alleged.
- 2. The certificate heretofore issued to Herbert B. Henderson (No. C-9528) authorizing him to practice medicine in the State of California is hereby revoked as to each of the Fifth and Seventh Causes for Disciplinary Action so established; provided, however, that execution of this order of revocation is hereby stayed and respondent is placed on probation for a period of five (5) years upon the following terms and conditions:
 - (a) Respondent shall comply with all laws of the United States and the State of California and its political subdivisions and with the rules and regulations of the Board of Medical Examiners;
 - (b) Respondent shall report in person to the Board of Medical Examiners, annually, at such time

and place as directed by said Board;

- (c) Respondent shall file with the Board of Medical Examiners, at quarterly intervals, an affidavit to the effect that he has fully complied with all of the terms and conditions of probation herein imposed.
- 3. In the event respondent does not comply with the conditions of probation hereinabove set forth and, during the period of probation, the Board of Medical Examiners, after notice to respondent and opportunity to be heard, may terminate said probation effective immediately, or make such other order modifying or changing the terms of probation herein as it deems just and reasonable in its discretion.

Upon expiration of the period of probation, the stay of the order of revocation shall become permanent and respondent's license fully restored.

I hereby certify that the foregoing constitutes my Proposed Decision in the above-entitled matter as the result of a hearing held before me at San Francisco, California, on May 20, 1968, and I hereby recommend its adoption as the decision of the Board of Medical Examiners of the State of California.

DATED: August 21, 1968.

PAUL A. WINTON, Hearing Officer

REDACTED THOMAS C. IXMON. Abborney General 1 of the State of California GERALD F. CAMBLEAS 2 Deputy Attorney General 6000 State Bullding 3 San Francisco, California 94102 Telephone: 557-2020 4 Attorneys for the Board of Medical Examiners 5 of the State of California 6 7 BUPONE THE BOARD OF MEDICAL EXAMINERS 8 OF THE STATE OF CALIFORNIA 9 In the Natter of the Accusation 10 10 pg24 agalnat 11 Heren D. Herenson, M.D., 12 Respondent. 13 14 WALLACE W. THOUPSON charges and alleges: 15 1 16 . That he, the said WALLACE W. THOMPSOW, is a citizen 17 of the United States and over the age of twenty-one years; 18 that he is the duly qualified and appointed Executive Secretary 19 of the Board of Medical Examiners of the State of Galifornia: 20 and that he presents this Assumation in his official capacity 21 and not otherwise. 22 1 23 That on or about Movember 20, 1946, the Board of 24 Medical Braminers of the State of California issued to MERRENT 25 B. MEMBERSON License No. 6-9528, authorizing him to practice 26 medicine in the State of California; that such license was at 27 all times heroin mentioned in full force and effect. 28 FOR A PIRST CAUSE FOR DISCIPLINARY ACTION AGAINST 29 respondent. 30

TIT

FOR A SECOND CAUSE FOR DISCIPLINARY ACTION ADMINIST.

That on or about July, 1963, respondent did unlawfully

employ an instrument and other means upon the person of a woman,

to wit. Plane James with intent to procure the miscarriage

sary to preserve her life; that such conduct on the part of

respondent constitutes unprofessional conduct: and that such

conduct on the part of respondent constitutes grounds for the

suspension or revocation of the aforesaid license as provided

by sections 2361, 2377 and 2378 of the Dusiness and Professions

of said F J J the same not being then and there necet-

IV.

That on or about Narch, 1964, respondent did unlawfully employ an instrument and other means upon the person of a woman, to wit, Jack Jack with intent to produce the miscarriage of said Jack Jack Jack, the same not being then and there necessary to preserve her life; that such conduct on the part of respondent constitutes unprofessional conduct; and that such conduct on the part of respondent constitutes grounds for the suspension or revocation of the aforesaid license as provided by sections 2361, 2377 and 2378 of the Business and Professions Code.

FOR A THIRD CAUSE FOR DISCIPLIMANT ACTION AGAINST RESPONDENT.

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That on or about June, 1964, respondent did walnufully employ an instrument and other means upon the person of a somen, to wit, A had been with intent to produce the alscarriage of said A had had also been not being then and there measure to preserve her life; that such conduct on the part of

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respondent constitutes unprofessional conduct; and that such conduct on the part of respondent constitutes grounds for the suspension or revocation of the aforesaid license as provided by sections 2361, 2377 and 2378 of the Dusiness and Professions Code.

FOR A POURTH CAUSE FOR DISCIPLINARY ACTION AGAINST
RESPONDENT.

YI

That on or about August, 1964, respondent did unlawfully employ an instrument and other means upon the person of a weman, to wit, Law Com with intent to procure the miscarriage of said Law Com, the same not being then and there necessary to preserve her life; that such conduct on the part of respondent constitutes unprofessional conduct; and that such conduct on the part of respondent constitutes grounds for the suspension or revocation of the aforesaid license as provided by sections 2361, 2377 and 2378 of the Business and Professions Code.

POR A PIPTH CAUSE FOR DISCIPLINARY ACTION AGAINST
RESPONDENT.

VII

That on or about August 4, 1961, in the matter entitled, "United States of America v. Merbert B. Henderson," being case No. 37722 in the United States District Court for the Morthern District of California, Southern Division, said respondent was convicted on two counts upon a plea of not guilty of the offense of the violation of Title 26, U.S.C., section 7201: Attempted Income Tax Evasion, a felony; that such conduct and conviction on the part of respondent constitutes unprofessional conduct; and that such conduct and conviction on the part of respondent constitutes unprofessional conduct; and that such conduct and conviction on the part of respondent constitutes grounds for the suspension or revocation of the aforesaid license as provided by sections 2361, 2378 and

2383 of the Business and Professions Code. WHEREFORE, complainant prays that the said Board of Hedical Examiners take such disciplinary action separately upon each of the causes for disciplinary action alleged herein against the respondent as may be deemed just and proper in the premises. DATED: February 1, 1966 Original Signed By Wallace W. Thompson WALLACK W. THOMPSOM Executive Secretary Board of Medical Examiners the State of California